



CLAIMANT'S STATEMENT FOR ENTITIES – SAVINGS AND RETIREMENT

This form is to be completed by the named beneficiary when the beneficiary is an estate or other entity. If any claims are being made by an individual, use the Claimant's Statement for Individuals (Form #1516) instead. If the contract is assigned, a statement should be completed by the assignee as well as the beneficiary (note: the payment will be made to the assignee).

Number of each contract under which a claim is being made	
Deceased's name (in full)	Province or state of residence
Date of death	Date of birth
Place of death	Place of birth

1. CLAIMANT INFORMATION

Full name of entity		
Street address	City	Province/State
Postal/Zip code	Phone number	Email address
In what capacity or by what do you claim the death benefit? (e.g. Named beneficiary, Executor or Assignee)?		
Relationship to deceased		

2. PAYMENT OPTIONS

How would you like the proceeds to be paid? (Note: Not all options are available for all claims)
<input type="checkbox"/> Paid by cheque (default if no selection is made)
<input type="checkbox"/> Paid by direct deposit to the beneficiary's bank account. Attach a void cheque or bank letter with the beneficiary's name pre-printed on the document.
<input type="checkbox"/> Transfer to another financial institution (please provide a transfer form)
<input type="checkbox"/> Deposit to Equitable® contract # _____
<input type="checkbox"/> Deposit to a new Equitable savings contract. Complete a new application with an advisor. If you require an advisor please contact our Client Care Centre at 1 800 668 4095.
<input type="checkbox"/> Payments to continue to beneficiary. Attach a void cheque or bank letter with the beneficiary's name pre-printed on the document.
<input type="checkbox"/> Other: _____



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3. ENTITY IDENTIFICATION

Within this section, only complete the applicable information below, based on the entity type of the claimant.

- a) Estate or Trust
- b) Corporation
- c) Sole Proprietor, Partnership, Association or Union
- d) Not for Profit Organization

a) Estate or Trust

Complete the following information for all trustees/executors, beneficiaries and settlors of the Estate or Trust:

Select as applicable:	Name	Address
<input type="checkbox"/> Trustee/Executor <input type="checkbox"/> Beneficiary <input type="checkbox"/> Settlor		
<input type="checkbox"/> Trustee/Executor <input type="checkbox"/> Beneficiary <input type="checkbox"/> Settlor		
<input type="checkbox"/> Trustee/Executor <input type="checkbox"/> Beneficiary <input type="checkbox"/> Settlor		
<input type="checkbox"/> Trustee/Executor <input type="checkbox"/> Beneficiary <input type="checkbox"/> Settlor		
<input type="checkbox"/> Trustee/Executor <input type="checkbox"/> Beneficiary <input type="checkbox"/> Settlor		
<input type="checkbox"/> Trustee/Executor <input type="checkbox"/> Beneficiary <input type="checkbox"/> Settlor		
<input type="checkbox"/> Trustee/Executor <input type="checkbox"/> Beneficiary <input type="checkbox"/> Settlor		
<input type="checkbox"/> Trustee/Executor <input type="checkbox"/> Beneficiary <input type="checkbox"/> Settlor		

I have attached evidence of existence (choose at least one): ☐ Trust Agreement/Deed ☐ Will/Estate documents

b) Corporation

Incorporation number (if applicable)	Jurisdiction (federal/provincial)	Business Number or Quebec Enterprise Number
Describe principal business activity (if a holding company, describe the nature of businesses held)		
Do you carry on business under any other names? Please list:		

I have attached the following evidence of existence (choose at least one):

- ☐ a copy of articles of incorporation
- ☐ business licence
- ☐ registration of business name or corporate search

Additionally, I have attached the following records of provisions relating to the power to bind the corporation (authority of officers to sign on behalf of the corporation). (Choose and attach at least one):

- ☐ a copy of our Bylaws
- ☐ our most recent Director's Resolutions

List the name(s) of the corporation's directors:

Name	Name
Name	Name



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3. ENTITY IDENTIFICATION (continued)

c) Sole Proprietor/Partnerships/Associations or Union

Incorporation Number (if applicable)	Jurisdiction (federal/provincial)	Business Number or Quebec Enterprise Number
Describe principal business activity (if a holding company, describe the nature of businesses held)		

List the name(s) of the organization's principals/directors:

Name	Name
Name	Name

Please attach as applicable:

☐ **Sole Proprietor and Partnership:**

Copy of business licence or registration of business name
(Not required if name of company is the exact name of the proprietor)

☐ **Union:**

Copy of most recent collective agreement

☐ **Association:**

Copy of the bylaws, regulations, association agreement/nominate contract (QC)

☐ **Limited Liability or other Corporation:**

Articles of incorporation

d) Not for Profit Organization

Incorporation Number (if applicable)	Jurisdiction (federal/provincial)
Describe principal business activity (if a holding company, describe the nature of businesses held)	

I have attached one of the following (if applicable):

☐ a copy of articles of incorporation ☐ business licence ☐ registration of business name or corporate search

Does the organization solicit charitable donations from the public? ☐ Yes ☐ No

Is the organization a charity registered with Canada Revenue Agency? ☐ Yes ☐ No

If yes, Registration Number _____

List the name(s) of the organization's directors:

Name	Name
Name	Name



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4. BENEFICIAL OWNERSHIP

A beneficial owner is an individual who owns or controls, directly or indirectly, 25% or more of the business/entity. Complete the following for each beneficial owner.

☐ No person owns or controls, directly or indirectly, 25% or more of the above business/entity.

Name (first, middle initial, last)	Residential address (street number and name)	
City	Province	Postal code

Name (first, middle initial, last)	Residential address (street number and name)	
City	Province	Postal code

Name (first, middle initial, last)	Residential address (street number and name)	
City	Province	Postal code

If you were unable to provide the information for any of the beneficial owners, please explain why:

5. IDENTITY VERIFICATION

Use this section to verify the identification of the individual(s) who has the authority to sign or provide direction on behalf of the entity. Spaces have been provided for three individuals. If more space is required, please print and attach additional copies of the "Identity Verification" section of this form.

Name (first, middle initial, last)
Residence address

Please provide two forms of identification. Each of the documents must be from a different category as indicated in the chart at the bottom of section 5 (that is, no more than one document from any one of the categories). The documents should be from a Canadian source unless otherwise indicated.

Name (first, middle initial, last)
Residence address

Please provide two forms of identification. Each of the documents must be from a different category as indicated in the chart at the bottom of section 5 (that is, no more than one document from any one of the categories). The documents should be from a Canadian source unless otherwise indicated.



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5. IDENTITY VERIFICATION (continued)

Name (first, middle initial, last)

Residence Address

Please provide two forms of identification. Each of the documents must be from a different category as indicated in the chart at the bottom of section 5 (that is, no more than one document from any one of the categories). The documents should be from a Canadian source unless otherwise indicated.

CATEGORY A (must include name and address)	CATEGORY B (must include name and date of birth)	CATEGORY C (must include name and account information)
Government issued photo identification (excluding provincial health cards) – different from Category B document	Government issued photo identification (excluding provincial health cards) – different from Category A document	Bank account statement
Benefits statement: federal, provincial, territorial or municipal	Birth certificate	Loan account statement
Canada Pension Plan statement	Marriage certificate / Divorce documentation	Credit card statement
Provincial vehicle registration	Insurance company document (home, auto, life excluding Equitable)	Letter from bank, trust company or credit union confirming account
Municipal property tax assessment	Permanent Resident card	
Utility bill (e.g. hydro, phone, cable, etc.)	Citizenship certificate	
Investment account statement (e.g. RRSP, securities account, excluding Equitable)	Investment account statement (e.g. RRSP, GIC, excluding Equitable)	
	Travel visa issued by a foreign government	
	Temporary driver's licence (non-photo)	



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6. DECLARATION OF TAX RESIDENCE

Check all of the options that apply to the entity.

☐ **The entity is a tax resident of Canada.** If the entity is a trust, give its trust account number.

Trust account number: T-_____

☐ **The entity is a tax resident of the United States.**

☐ **The entity is a tax resident of a jurisdiction other than Canada or the United States.**

Jurisdiction of tax residence: _____

Taxpayer identification number or functional equivalent: _____

If the entity does not have a TIN or functional equivalent for a specific jurisdiction, give the reason using one of these choices:

☐ a) The entity will apply or has applied for a TIN but has not yet received it.

☐ b) The entity's jurisdiction of tax residence does not issue TINs to its residents.

☐ c) Other reason: _____

7. APPLICANT/CONTRACT OWNER DECLARATION AND SIGNATURES

In this section, "you" and "your" mean the signing officers or trustees signing below. By signing below:

- You declare that you are authorized to sign on behalf of the claiming entity.
- You certify that the information provided on this form is current, correct and complete.
- You agree to notify Equitable within 30 days of a change to any of the information provided on this form.
- The information willingly provided to Equitable and held in their files will be used by Equitable for the purposes of processing this claim; improving and developing insurance and/or reinsurance related tools, processes, studies, algorithms, and products; and post-issue auditing. You understand and authorize that for the above purposes the information on file about the claiming entity, the contract owner, the annuitant, or this claim is accessible to and may be exchanged with: authorized employees of, and relevant third parties retained by, Equitable; investigative organizations; and any other person or party whom you authorize.
- You acknowledge that information about the claiming entity, the contract owner, the annuitant, or this claim may be processed and stored outside of Canada and may therefore be subject to the laws of those jurisdictions. If this policy was issued in Quebec, the information will be stored outside of Quebec. Further details about Equitable's privacy practices and contact information for Equitable's Privacy Officer are available at www.equitable.ca.

First name	Middle initial	Last name	
Signature of signing officer or trustee		Title	Date (dd-mm-yyyy)

First name	Middle initial	Last name	
Signature of signing officer or trustee		Title	Date (dd-mm-yyyy)

First name	Middle initial	Last name	
Signature of signing officer or trustee		Title	Date (dd-mm-yyyy)

By providing this or other claim forms to the claimant, the Company does not admit to any liability or waive any of its rights. A limitation period provision describes the time period in which you may commence a proceeding for recovery of contract benefits. This time period is set out in provincial insurance legislation or other legislation that applies to your claim.

Contact our Head office at 1 800 668 4095 for information or assistance completing this statement and providing proof of claim.

Please note: Equitable cannot ensure the privacy and confidentiality of any information sent through the internet because e-mail may be vulnerable to interception. As a result, Equitable is not responsible for any loss or damages you may incur if your information is intercepted and misused. If you would prefer to submit your information by another means, please contact us at 1 800 668 4095.