



Transfer authorization for registered and non-registered investments

1. Client identification

Name of owner(s)

Address (street, city, province, postal code)

Social Insurance Number

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Telephone number

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2. Relinquishing Institution

Name of relinquishing institution

Fax number

Address (street, city, province, postal code)

Client contract number

I/we request the cash transfer, from the above noted account/contract to Equitable:

☐ All of the value or ☐ A partial withdrawal as specified below:

Note: Full withdrawals of RIF/LIF plans require the payment of the Required Minimum prior to transfer.

Fund name	Fund code (if applicable)	% / \$ Amount

Registration type:

☐ Non-Registered ☐ TFSA ☐ RRSP ☐ LIRA ☐ LIF ☐ RRIF ☐ PRIF ☐ LRIF ☐ RLIF ☐ RLSP ☐ LRSP
☐ RPP ☐ FHSA (If your transfer is to an RRSP, RRIF or another FHSA, please also complete
and attach Canada Revenue Agency (CRA) form RC721.)

Spousal plan? ☐ Yes ☐ No - If yes, provide the following details about the spousal contributor.

First name

Last name

Social Insurance Number

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Locked-in pension funds? ☐ Yes ☐ No - If yes, provide the following details.

Legislation

Plan name

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Note to relinquishing institution:

- Where required by applicable legislation, please provide the investment gain/loss to date of transfer for the current calendar year.
- If the funds are being transferred from a RIF, please confirm if the funds are from a qualifying RIF upon transfer.



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3. Receiving Institution Information

Receiving institution: Equitable

Business telephone number - 1-800-668-4095 Fax number - 519-883-7404

One Westmount Road North, PO Box 1603 Stn Waterloo, Waterloo ON N2J 4C7

Advisor name

Advisor code

Advisor telephone number

Please deposit the transferred assets into the following contract:

Contract/Application number _____

For money being sent via A\$M:
Use management code "ELC"

Product type: ☐ Segregated funds ☐ Daily/Guaranteed Interest Account ☐ Payout annuity

Registration type:

☐ Non-Registered ☐ TFSA ☐ RRSP ☐ Spousal RRSP ☐ RRIF ☐ Spousal RRIF

☐ Locked-In Retirement Account (LIRA, RLSP, LRSP) ☐ Life Income Fund (LIF, PRIF, LRIF, RLIF)

☐ FHSA (If your transfer is from an RRSP, please also complete and attach CRA form RC720. If it is from another FHSA, please also complete and attach CRA form RC721.)

Investment Instructions:

☐ Deposit according to the existing investment instructions on file, or

☐ Deposit according to the investment instructions specified below:

Investment name	Fund code (if applicable)	% / \$ Amount

Locked-in funds confirmation:

Equitable agrees to administer any locked-in funds transferred via this Transfer Authorization according to the governing pension legislation indicated in the accompanying **Locking In Agreement**.

☐ Please check this box if an offsetting receipt from Equitable is required

When the fund name and fund code provided do not match, the fund code will be used.

For Guaranteed Investment Funds contracts:

If Front End Load (FEL) is selected, please indicate percentage: ____ (0% - 5%)

- The default is 0% if no percentage is specified.
- FEL above 0% is only available when the application is submitted with a FundSERV advisor code



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4. Client authorization

I/we authorize the transfer of all or part of my investment as indicated above and agree to the value being reduced by any resulting applicable fees, taxes, charges or adjustments.

Signature of owner

Date (yyyy/mm/dd)

Signature of joint owner (if applicable)

Date (yyyy/mm/dd)

Signature of irrevocable beneficiary (if applicable)

Date (yyyy/mm/dd)

Please note: Equitable cannot ensure the privacy and confidentiality of any information sent through the internet because e-mail may be vulnerable to interception. As a result, Equitable is not responsible for any loss or damages you may incur if your information is intercepted and misused. If you would prefer to submit your information by another means, please contact us at 1-800-668-4095.